

# The analytic site of a scheme

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Version of March 29, 2004

# 1 Rigid cohomology

*Rigid cohomology* associates to a variety  $X$  over a field  $k$  of characteristic  $p > 0$  some vector spaces  $H_{\text{rig}}^q(X/K)$  over a  $p$ -adic field  $K$  of characteristic 0. More generally, one defines *overconvergent isocrystals*  $E$  over  $X$  and their *rigid cohomology*  $H_{\text{rig}}^*(X/K, E)$ .

The method is as follows : embed  $X$  in some proper smooth formal scheme  $P$  over the ring of integers  $\mathcal{V}$  of  $K$  and choose a sufficiently small strict neighborhood  $V$  of  $X$  in the generic fiber of  $P$ . An overconvergent isocrystal on  $X$  is a coherent module with an integrable “overconvergent” connection  $E$  on  $V$  and

$$H_{\text{rig}}^*(X/K, E) := H_{\text{dR}}^*(V, E).$$

## 2 New interpretation

A *(pre-) topology* on a category  $\mathcal{C}$  is a collection of *coverings*  $\{X_k \rightarrow X\}_k$  which is stable by pull back and composition and contains the identities. A *site* is a category  $\mathcal{C}$  endowed with a topology. The *sheaves* on  $\mathcal{C}$  are the contravariant functors from  $\mathcal{C}$  to Sets that satisfy gluing with respects to coverings. They form a *topos*  $\tilde{\mathcal{C}}$ . A *ringed site* is a site  $\mathcal{C}$  endowed with a sheaf of rings.

We will show how one can associate in a *natural* way a ringed site  $(\text{AN}(X), \mathcal{O}_X^\dagger)$  to an algebraic variety  $X$  so that the category of overconvergent isocrystals on  $X$  can be identified with the category of finitely presented  $\mathcal{O}_X^\dagger$ -modules.

### 3 The conventions

$K$  : a complete ultrametric field  
 $\mathcal{V}$  : the valuation ring of  $K$   
 $k$  : the residual field of  $\mathcal{V}$

*Algebraic variety* :  $k$ -scheme locally of finite type

*Formal scheme* :  $\mathcal{V}$ -formal scheme locally  
topologically finitely presented

*Analytic space* : Berkovich  $K$ -analytic space

If  $S$  is a formal scheme, its special fiber  $S_k$  is an algebraic variety, its generic fiber  $S_K$  is an analytic space and there is a specialization map  $sp : S_K \rightarrow S$ .

## 4 Example

$$\begin{array}{llllll} K := \mathbf{C}((t)) & \mathcal{V} = \mathbf{C}[[t]] & k = \mathbf{C} & \pi = t & |F| = e^{-ord_t(F)}, \\ K := \mathbf{Q}_p & \mathcal{V} = \mathbf{Z}_p & k = \mathbf{F}_p & \pi = p & |n| = p^{-ord_p(n)}. \end{array}$$

$$\begin{array}{lcl} S & : & \widehat{\mathbf{A}}_{\mathcal{V}}^n \\ S_k & : & \mathbf{A}_k^n \\ S_K & : & \mathbf{B}^n(0, 1^+) \end{array} \left| \begin{array}{l} V(f_1, \dots, f_r) \subset \widehat{\mathbf{A}}_{\mathcal{V}}^n \\ V(\tilde{f}_1, \dots, \tilde{f}_r) \subset \mathbf{A}_k^n \\ V(f_1, \dots, f_n) \subset \mathbf{B}^n(0, 1^+) \end{array} \right| \begin{array}{l} \widehat{\mathbf{P}}_{\mathcal{V}}^N \\ \mathbf{P}_k^n \\ \mathbf{P}_K^{n \text{ an}} \end{array}$$

$$\begin{array}{lcl} sp : \mathbf{B}^n(0, 1^+) & \rightarrow & \mathbf{A}_k^n \\ (x_1, \dots, x_n) \mapsto (\tilde{x}_1, \dots, \tilde{x}_n) \end{array} \left| \begin{array}{lcl} sp : \mathbf{P}_K^{n \text{ an}} & \rightarrow & \mathbf{P}_k^n \\ (x_0, \dots, x_n) \mapsto (\tilde{x}_0, \dots, \tilde{x}_n) \\ \text{if } \max(|x_1|, \dots, |x_n|) = 1 \end{array} \right.$$

## 5 The objects

Let  $S$  be a formal scheme and  $X$  an algebraic variety over  $S_k$ .

An *analytic variety over  $X/S$*  is a couple  $(U \hookrightarrow P, \lambda : V \rightarrow P_K)$  where  $U \hookrightarrow P$  is a *good* embedding of an  $X$ -scheme into a formal scheme over  $S$  and  $\lambda$  is a morphism of analytic spaces over  $S_K$ . When there is no risk of confusion, we will simply write  $(U, V)$ .

Remark : The formal embedding is said *good* if any point in  $]U[_P := sp^{-1}(U)$  has an affinoid neighborhood (this is the case for example, if  $P$  is affine or proper over  $\mathcal{V}$ ).

## 6 Example

We let

$$S := \mathrm{Spf}(\mathcal{V}) \text{ and } A := \mathcal{V}[T_1, \dots, T_N]/I.$$

We want

$$U = X = \mathrm{Spec}(A \otimes_{\mathcal{V}} k) \text{ and } V := [\mathrm{Spec}(A \otimes_{\mathcal{V}} K)]^{\mathrm{an}}.$$

$$P = \mathrm{Spf}(\hat{A}) \quad ? \quad \text{No, because } [\mathrm{Spf}(\hat{A})]_K = V \cap \mathbf{B}^N(0, 1^+).$$

$$P = \widehat{\mathbf{A}_{\mathcal{V}}^N} \quad ? \quad \text{Not better, because } [\widehat{\mathbf{A}_{\mathcal{V}}^N}]_K = B^N(0, 1^+).$$

$$P = \widehat{\mathbf{P}_{\mathcal{V}}^N} \quad ? \quad \text{Yes since } [\widehat{\mathbf{P}_{\mathcal{V}}^N}]_K = \mathbf{P}_K^{N^{\mathrm{an}}} \supset \mathbf{A}_K^{N^{\mathrm{an}}} \supset V.$$

## 7 The notion of tube

If  $(U \hookrightarrow P, \lambda : V \rightarrow P_K)$  is an analytic variety over  $X/S$ , the *tube* of  $U$  in  $V$  is

$$]U[_V := \lambda^{-1}(sp^{-1}(U)).$$

We will denote by

$$i_U : ]U[_V \hookrightarrow V$$

the canonical embedding and by

$$]U[_V \rightarrow U, \quad x \mapsto \tilde{x} = sp(\lambda(x))$$

the *specialization map*.



## 8 The morphisms

*A hard morphism*

$$(f, u) : (U', V') \rightarrow (U, V)$$

is a couple where  $f : U' \rightarrow U$  is a morphism of algebraic varieties over  $X$  and  $u : V' \rightarrow V$  is a morphism of analytic spaces over  $S_K$  such that

$$x' \in ]U'[_{V'} \Rightarrow f(\widetilde{x'}) = \widetilde{u(x')},$$

and the same is true after any isometric extension of  $K$ .

We obtain a category  $\overline{\text{AN}}(X/S)$  which has non-empty finite inverse limits.

## 9 The category

If we localize on the right the category  $\overline{\text{AN}}(X/S)$  with respect to hard morphisms

$$(Id_U, j) : (U, V') \hookrightarrow (U, V)$$

where  $j$  is an open immersion of analytic spaces that induces

$$]U[_{V' \simeq} ]U[_V,$$

we obtain the category  $\text{AN}(X/S)$  of *analytic varieties over  $X/S$* .

Remark : Right localization with respect to a subcategory made of monomorphisms and stable under pull-back is always possible.

## 10 The topology

A family

$$(Id_U, j_k) : \{(U, V_k) \rightarrow (U, V)\}_{k \in I}$$

is an *open covering* if there exists open neighborhoods  $W_k$  of  $]U[_{V_k}$  in  $V_k$  such that  $j_k$  induces an open immersion  $W_k \hookrightarrow V$  and  $]U[_V \subset \cup W_k$ .

This is a pretopology on  $\text{AN}(X/S)$ . The corresponding site has fibered products and the topology is coarser than the canonical topology. We will denote by  $(X/S)_{\text{AN}}$  the corresponding topos.

This construction is functorial in  $X/S/\mathcal{V}$ .

# 11 The sheaves

If  $E$  is a sheaf on  $\text{AN}(X/S)$  and  $(U, V)$  an analytic variety over  $X/S$ , the *realization* of  $E$  on  $(U, V)$  is the sheaf on  $]U[_V$  defined by

$$E_{U,V} : ]U[_V \mapsto E(U, V).$$

Giving  $E$  is equivalent to the following : the sheaves  $E_{U,V}$  and the transitions morphisms

$$\phi_{f,u} : u^{-1}E_{U,V} \rightarrow E_{U',V'}$$

coming from morphisms  $(f, u) : (U', V') \rightarrow (U, V)$ .

## 12 The crystals

The presheaf

$$\mathcal{O}_{X/S}^{\dagger} : (U, V) \mapsto \Gamma(\mathcal{I}U|_V, i_U^{-1}\mathcal{O}_V)$$

of *dagger functions* on  $X/S$  is a sheaf and a module on this ring is called a *dagger module*.

Note that any morphism  $(f, u) : (U', V') \rightarrow (U, V)$  induces a morphism of ringed spaces

$$(u^{\dagger}, u_{*}) : (\mathcal{I}U'|_{V'}, i_{U'}^{-1}\mathcal{O}_{V'}) \rightarrow (\mathcal{I}U|_V, i_U^{-1}\mathcal{O}_V).$$

$\dots / \dots$

Giving a dagger module  $E$  is equivalent to the following : the  $i_U^{-1}\mathcal{O}_V$ -modules  $E_{U,V}$  and the transition morphisms

$$\phi_{f,u} : u^\dagger E_{U,V} \rightarrow E_{U',V'}.$$

A dagger module  $E$  is a *crystal* if the transition morphisms are bijective. Actually, a dagger module  $E$  is finitely presented if and only if it is a crystal and the  $E_{U,V}$  are coherent  $i_U^{-1}\mathcal{O}_V$ -modules.

Remark : we could also consider the convergent situation with

$$\hat{\mathcal{O}}_{X/S} : (U, V) \mapsto \Gamma(\textstyle\bigcap U[_V, \mathcal{O}]_{U[_V}).$$

## 13 Varieties over a formal scheme

If  $X \hookrightarrow P$  is a good embedding, we denote by

$$\mathrm{AN}(X_P/S)$$

the full subcategory of objects  $(U, V)$  of  $\mathrm{AN}(X/S)$  which admit some morphism  $(U, V) \rightarrow (X, P_K)$ .

We can do with this category exactly the same as what we did with  $\mathrm{AN}(X/S)$ . In particular, we have a ringed site

$$(\mathrm{AN}(X_P/S), \mathcal{O}_{X_P/S}^\dagger),$$

the notions of dagger module or dagger crystals on  $X_P/S$ , and their realizations.

# 14 The Taylor isomorphism

If  $E$  is a dagger crystal on  $X_P$  and  $E_P := E_{X,P_K}$ , the isomorphism

$$\epsilon_P : p_2^\dagger E_P \simeq E_{P^2} \simeq p_1^\dagger E_P$$

on  $]X[_{P^2}$  is the *Taylor isomorphism* of  $E_P$ . If  $E_P$  is coherent, it extends to a module with an integrable connexion on some neighborhood  $V$  of  $]X[_P$  in  $] \bar{X}[_P$  (where  $\bar{X}$  is the Zariski closure of  $X$ ).

**Proposition 1** (*Char  $K = 0$* ) *If  $P$  is smooth in the neighborhood of  $X$ , we obtain an equivalence of categories between finitely presented  $\mathcal{O}_{X_P/S}^\dagger$ -modules and isocrystals on  $\bar{X}$  in  $P$  which are over-convergent along  $\bar{X} \setminus X$ .*



## 15 The main theorem

**Theorem 1** *If  $X \hookrightarrow P$  is a good admissible embedding with  $P/S$  proper and smooth on  $X$ , there is a canonical equivalence of toposes*

$$(X_P/S)_{AN} \simeq (X/S)_{AN}.$$

From this, we deduce, when  $\text{Char} K = 0$ , an equivalence between the category of finitely presented  $\mathcal{O}_{X/S}^\dagger$ -modules and the category of overconvergent isocrystals on  $X/S$ .

Remarks : 1)  $X \hookrightarrow P$  is *admissible* if  $P$  has no  $\mathcal{V}$ -torsion. 2)  $u : P' \rightarrow P$  is *proper on  $X \subset P'$*  if the Zariski closure  $\overline{X}$  of  $X$  in  $P'_k$  has proper irreducible components over  $P_k$ .

## 16 The method

The theorem reduces successively to the next two theorems.

**Theorem 2** *If  $X \hookrightarrow P$  is a good admissible embedding with  $P/S$  proper and smooth on  $X$ , then  $(X, P_K)$  is a covering of (the final object of)  $(X/S)_{AN}$ .*

**Theorem 3** *Let  $u : P' \rightarrow P$  be a morphism of good admissible embeddings of  $X$ . If  $u$  is proper and smooth on  $X$ , the morphism*

$$(Id_X, u_K) : (X, P'_K) \rightarrow (X, P_K)$$

*has locally a section in  $AN(X/S)$ .*

## 17 The proof

Using results of Berkovich, Temkin and Ducros, one shows that the morphism of the last theorem induces a smooth morphism  $u_K : V' \rightarrow V$  between good neighborhoods of the tubes.

The smoothness of  $u_K$  gives for each  $x \in ]X[_V$  an isomorphism

$$\phi_x : u_K^{-1}(x) \simeq \mathbf{B}_K^d(0, 1^-).$$

One then needs an approximation theorem in order to show that there exists neighborhoods  $W$  of  $x$  in  $V$  and  $W'$  of  $\phi_x^{-1}(x)$  in  $V'$  such that  $\phi_x$  extends to an open immersion

$$\phi : W' \hookrightarrow \mathbf{B}_W^d(0, 1^-).$$