

THE OVERCONVERGENT SITE

Bernard Le Stum¹

Université de Rennes 1

Version of October 18, 2009

¹bernard.lestum@univ-rennes1.fr

SINGULAR COHOMOLOGY

The overcon-
vergent
site

Bernard Le
Stum

If X is a topological space, we may consider the complex of singular cochains

$$C_{\text{sing}}^{\bullet}(X) = \text{Hom}_{\text{sets}}(\text{Hom}_{\text{top}}(\Delta_{\bullet}, X), \mathbf{Z})$$

and define the **singular cohomology** of X :

$$H_{\text{sing}}^*(X) = H^*(C_{\text{sing}}^{\bullet}(X)).$$

Alternatively, this may be seen as the **cohomology of a sheaf** :

THEOREM

If X is locally contractible, we have

$$H_{\text{sing}}^*(X) \simeq H^*(X, \mathbf{Z}).$$

This gives a very rich formalism in order to compute these spaces.

DE RHAM COHOMOLOGY

The overcon-
vergent
site

Bernard Le
Stum

One can compute cohomology by means of differential forms:

THEOREM (DE RHAM)

If X is a real manifold, we have

$$H_{\mathrm{dR}}^*(X/\mathbf{R}) \simeq \mathbf{R} \otimes H^*(X, \mathbf{Z}).$$

Thus, if X is a complex manifold, we get

$$H_{\mathrm{dR}}^*(X/\mathbf{C}) \simeq \mathbf{C} \otimes H^*(X, \mathbf{Z}).$$

Now, if X is a non singular algebraic variety over a field K of characteristic zero, and $K \hookrightarrow \mathbf{C}$ any embedding, we have

$$\mathbf{C} \otimes_K H_{\mathrm{dR}}^*(X/K) \simeq H_{\mathrm{dR}}^*(X/\mathbf{C}) \simeq H_{\mathrm{dR}}^*(X^{\mathrm{an}}/\mathbf{C}).$$

This gives a purely algebraic interpretation of cohomology.

USING SHEAVES

The overcon-
vergent
site

Bernard Le
Stum

It is also possible to express de Rham cohomology as the **cohomology of a sheaf**:

THEOREM (GROTHENDIECK)

If X is an (non-singular) algebraic variety defined over a field K of characteristic zero, we have

$$H_{\mathrm{dR}}^*(X/K) \simeq H^*(\mathrm{inf}(X), \mathcal{O}_{\mathrm{inf}(X)}).$$

Here, $\mathrm{inf}(X)$ is not a topological space anymore but a **site** and $\mathcal{O}_{\mathrm{inf}(X)}$ denotes a sheaf on this site. Recall that a **site** is a category with given covering families, generalizing the category of open sets of a topological space and open coverings.

Anyway, we get a purely algebraic interpretation of cohomology as the cohomology of a sheaf.

CONNEXIONS

The overcon-
vergent
site

Bernard Le
Stum

We want to give more details on this isomorphism and do it in a more general setting.

Let $p : X \rightarrow S$ be a morphism of schemes. An **integrable connection** on an \mathcal{O}_X -module \mathcal{F} is a \mathcal{O}_S -linear map

$$\nabla : \mathcal{F} \rightarrow \mathcal{F} \otimes_{\mathcal{O}_X} \Omega_{X/S}^1$$

satisfying Leibnitz rule:

$$\nabla(fm) = m \otimes df + f \nabla(m)$$

and $\nabla^2 = 0$. We may then form its **de Rham complex** $\mathcal{F} \otimes_{\mathcal{O}_X} \Omega_{X/S}^\bullet$. And we can define the absolute **de Rham cohomology** of \mathcal{F} as

$$Rp_{dR}\mathcal{F} := Rp_*(\mathcal{F} \otimes_{\mathcal{O}_X} \Omega_{X/S}^\bullet).$$

THE INFINITESIMAL SITE

The overcon-
vergent
site

Bernard Le
Stum

If $X \rightarrow S$ is a morphism of schemes, then $\text{inf}(X/S)$ is the infinitesimal site whose objects are **thickenings**

$$\begin{array}{ccc} U & \xrightarrow{\text{nilpotent}} & T \\ \downarrow \text{open} & & \downarrow \\ X & \longrightarrow & S. \end{array}$$

of open subsets of X over S . We will usually write $(U \subset T)$ for such an object.

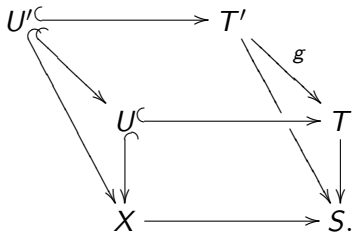
The fundamental example is as follows: if X is defined by \mathcal{I} inside $X \times_S X$, the thickening of order 1 of X is the subscheme $X(1)$ defined by \mathcal{I}^2 . In particular the ideal of X inside $X(1)$ is nothing but $\Omega_{X/S}^1$.

MORPHISMS

The overcon-
vergent
site

Bernard Le
Stum

A **morphism** in the category $\text{inf}(X/S)$ is simply a commutative diagram



In practice, we will simply write $g : (U' \subset T') \rightarrow (U \subset T)$.

Covering families are $\{(U_i, T_i) \rightarrow (U, T)\}$ where $T = \bigcup T_i$ is a Zariski open covering.

The **structural sheaf** is defined as

$$\mathcal{O}_{\text{inf}(X/S)} : (U \subset T) \mapsto \Gamma(T, \mathcal{O}_T).$$

REALIZATIONS

The overcon-
vergent
site

Bernard Le
Stum

If we are given a thickening $(U \subset T)$ of an open subset U of X over S and a sheaf E on $\mathrm{inf}(X/S)$, we may consider the **realization** E_T of E on T which is the sheaf on T given by

$$\Gamma(V, E_T) = \Gamma(V \cap U \subset V, E).$$

Giving E is equivalent to giving all realizations E_T and transition maps

$$g^{-1}E_T \rightarrow E_{T'}$$

for all morphisms $g : (U', T') \rightarrow (U, T)$ (subject to a cocycle condition).

For example, the sheaf $\mathcal{O}_{\mathrm{inf}(X/S)}$ corresponds to the family of all sheaves \mathcal{O}_T with natural transition maps

$$g^{-1}\mathcal{O}_T \rightarrow \mathcal{O}_{T'}.$$

INDUCED CONNECTIONS

The overcon-
vergent
site

Bernard Le
Stum

There is a commutative diagram of thickenings

$$\begin{array}{ccc} X & \hookrightarrow & X(1) \\ \parallel & & \downarrow p_1 \downarrow p_2 \\ X & \xlongequal{\quad} & X. \end{array}$$

If E is a finitely presented $\mathcal{O}_{\text{inf}(X)}$ -module (or more generally, a **crystal**), we may consider the composite map

$$\eta : E_X \hookrightarrow p_2^* E_X \xrightarrow{\simeq} E_{X(1)} \xleftarrow{\simeq} p_1^* E_X.$$

The difference $\nabla := p_1^* - \eta$ takes values inside

$$E_X \otimes \Omega_X^1 / S \subset p_1^* E_X$$

and defines an integrable connection on E_X .

CLASSICAL THEOREMS

The overcon-
vergent
site

Bernard Le
Stum

Let $p : X \rightarrow S$ be a smooth morphism between two algebraic varieties over a field K of characteristic zero. Then,

THEOREM (PART 1)

There is an equivalence of categories:

$$\begin{array}{ccc} \{ \text{Finitely presented} & \simeq & \{ \text{Coherent modules with} \\ \text{modules on } \mathrm{inf}(X/S) \} & & \text{integrable connection on } X/S \} \\ E & \longmapsto & (E_X, \nabla) \end{array}$$

THEOREM (PART 2)

There exists a morphism of topos $p_{\mathrm{inf}} : (X/S)_{\mathrm{inf}} \rightarrow S$ with

$$Rp_{\mathrm{dR}} E_X \simeq Rp_{\mathrm{inf}*} E.$$

RIGID COHOMOLOGY

The overcon-
vergent
site

Bernard Le
Stum

If X is an algebraic variety over a field k of characteristic $p > 0$, de Rham cohomology has to be replaced with Berthelot's **rigid cohomology**

$$H_{\text{rig}}^*(X/K),$$

where K is a complete ultrametric field of characteristic zero with residue field k .

More generally, let \mathcal{V} denote the ring of integers of K and S a formal \mathcal{V} -scheme. One can define **overconvergent isocrystals** E on X/S which are analogous to coherent modules with integrable connections in characteristic zero. Then, if $p : X \rightarrow S_k$ is a morphism of algebraic varieties, we can define the **rigid cohomology** $Rp_{\text{rig}} E$.

Let us be more precise now:

TUBES

The overcon-
vergent
site

Bernard Le
Stum

If P is a formal \mathcal{V} -scheme, we may consider

- its **special fiber** P_k which is an algebraic variety over k .
- its **generic fiber** P_K which is an analytic variety (we use Berkovich theory) over K .

This is functorial. Moreover, there is a nilpotent immersion and a **specialization map**

$$P_k \hookrightarrow P \xleftarrow{\text{sp}} P_K.$$

Note that sp is **not** continuous. If X is an algebraic subvariety of P_k , we will consider its **tube**

$$]X[_P := \text{sp}^{-1}(X) \subset P_K.$$

Note : we consider only formal schemes that have a locally finite covering by finitely presented \mathfrak{m} -adic formal schemes.

LOCAL DESCRIPTION

The overcon-
vergent
site

Bernard Le
Stum

If $P = \mathrm{Spf} A$, $A_k := k \otimes_{\mathcal{V}} A$ et $A_K := K \otimes_{\mathcal{V}} A$, then

$$P_k = \mathrm{Spec}(A_k) \quad \text{and} \quad P_K = \mathcal{M}(A_K).$$

To $x \in P_k$, we associate

$$\ker(A \rightarrow A_k \rightarrow k(x)) \in P$$

and to $x \in P_K$, we associate its specialization

$$\ker(A \rightarrow \mathcal{V}(x) \rightarrow k(x)) \in P$$

(where the first application is induced by $A_K \rightarrow \mathcal{K}(x)$).

Finally, if $X \subset P_k$ is defined by

$$\forall i, \bar{f}_i(x) = 0 \quad \text{and} \quad \exists j, \bar{g}_j(x) \neq 0,$$

then $]X[_P \subset P_K$ is defined by

$$\forall i, |f_i(x)| < 1 \quad \text{and} \quad \exists j, |g_j(x)| = 1.$$

EXAMPLE

The overcon-
vergent
site

Bernard Le
Stum

We can consider the affine Legendre family

$$X : y^2 = x(x-1)(x-\lambda) \subset \mathbf{A}_k^3$$

over the affine line $S = \mathbf{A}_V^1$ and embed it into the formal projective Legendre family

$$P : y^2 = x(x-z)(x-\lambda z) \subset \widehat{\mathbf{P}}_S^2.$$

Then, we will have

$$]X[_P = V^{\text{an}} \cap \mathbf{B}^3(0, 1^+)$$

where V^{an} denotes the analytification of the affine Legendre family:

$$V : y^2 = x(x-1)(x-\lambda) \subset \mathbf{A}_K^3.$$

FORMAL EMBEDDINGS

The overcon-
vergent
site

Bernard Le
Stum

We fix a formal \mathcal{V} -scheme S . A **formal embedding** is a locally closed embedding $X \hookrightarrow P$ of an algebraic variety into a formal S -scheme. We will denote by \overline{X} the closure of X inside P .

A **morphism** of formal embeddings is simply a commutative diagram

$$\begin{array}{ccc} P' & \xrightarrow{v} & P \\ \uparrow & & \uparrow \\ X' & \xrightarrow{f} & X \end{array}$$

The morphism is said to be **flat**, **smooth** or **étale** if v has this property in the neighborhood of X' . It is said to be **separated**, **proper** or **finite** if the induced map $\overline{X}' \rightarrow P_k$ is so. We will also say that the morphism v is flat, smooth, étale, separated, proper or finite **at** X' . We will apply this in particular to the canonical morphism $(X \subset P) \rightarrow (S_k \subset S)$.

GEOMETRIC VERSUS ANALYTIC

The overcon-
vergent
site

Bernard Le
Stum

THEOREM

In the following cases, if a morphism of formal embeddings $(f, \nu) : (X' \subset P') \rightarrow (X \subset P)$ has the property (Geom), then there exists neighborhoods V and V' of $]X[_P$ and $]X'[_P$ respectively such that the induced map $\nu_K : V' \rightarrow V$ has the property (Ana).

- ① *(Geom) = flat and (Ana) = universally flat*
- ② *(Geom) = smooth and (Ana) = formally smooth*
- ③ *(Geom) = étale and (Ana) = formally étale*
- ④ *(Geom) = separated and (Ana) = locally separated*
- ⑤ *(Geom) = proper and (Ana) = boundaryless*
- ⑥ *(Geom) = proper smooth and (Ana) = smooth*
- ⑦ *(Geom) = finite étale and (Ana) = étale*

OVERCONVERGENT ISOCRYSTALS

The overconvergent site

Bernard Le Stum

We fix a formal embedding $X \subset P$. In order to avoid problems with the translation into Berkovich theory, we will assume that all points of $]X[_P$ have an affinoid neighborhood in P_K (this is the case for example if S is affine and \overline{X} is proper over S_k).

The functor of **overconvergent sections** on a neighborhood V of $]X[_P$ in $\overline{X}[_P$ is defined as

$$j^\dagger \mathcal{F} := i_{X*} i_X^{-1} \mathcal{F}$$

where $i_X :]X[_P \hookrightarrow V$ denotes the inclusion map.

Let \mathcal{F} be a coherent $j^\dagger \mathcal{O}_{\overline{X}[_P}$ -module. An integrable connection on \mathcal{F} is **overconvergent** if its Taylor series comes from an isomorphism

$$j^\dagger p_2^* \mathcal{F} \simeq j^\dagger p_1^* \mathcal{F}$$

on $\overline{X}[_{P \times_S P}$.

BERTHELOT'S THEOREM 1

The overcon-
vergent
site

Bernard Le
Stum

An **overconvergent isocrystal** on $X \subset P$ is a coherent $j^{\dagger}\mathcal{O}_{]\overline{X}[P}$ -module \mathcal{F} with an overconvergent integrable connection.

THEOREM (BERTHELOT)

- 1 If P is smooth at X , this definition only depends on the pair $X \subset \overline{X}$.
- 2 If P is proper smooth at X , this definition only depends on X .

Using this theorem, it is possible to define the category of overconvergent isocrystals on $(X \subset \overline{X})$, or even on X . In this case, we will call \mathcal{F} the **realization** on P of the overconvergent isocrystal E .

BERTHELOT'S THEOREM 2

The overcon-
vergent
site

Bernard Le
Stum

In general, if we denote by $v : P \rightarrow S$ the structural morphism and write $v_K :]\overline{X}[_P \rightarrow S_K$ for the induced map, the absolute **rigid cohomology** of \mathcal{F} is

$$R\rho_{\text{rig}}\mathcal{F} := Rv_{K*}(\mathcal{F} \otimes_{\mathcal{O}_{]\overline{X}[_P}} \Omega_{]\overline{X}[_P/S_K}^\bullet)$$

THEOREM (BERTHELOT)

- 1 If P is smooth at X , this definition only depends on the pair $X \subset \overline{X}$.
- 2 If P is proper smooth at X , this definition only depends on X .

We may therefore define the cohomology of an overconvergent isocrystal on $X \subset \overline{X}$ or the cohomology of an overconvergent isocrystal on X .

FIRST THEOREM

The overcon-
vergent
site

Bernard Le
Stum

THEOREM (PART 1)

There exists a ringed site $(\text{an}^\dagger(X/S), \mathcal{O}_{\text{an}^\dagger(X/S)})$ and an equivalence of categories

$$\{ \text{Finitely presented modules on } \text{an}^\dagger(X/S) \} \simeq \{ \text{Overconvergent isocrystals on } X/S \}$$

Actually, if we are given any immersion $X \hookrightarrow P$ into a formal S -scheme, there exists a **realization** functor that send any $\mathcal{O}_{\text{an}^\dagger(X/S)}$ -module E to an $i_X^{-1} \mathcal{O}_{\overline{X}[P]}$ -module E_P where $i_X :]X[_{P \hookrightarrow}]\overline{X}[_P$.

If E is finitely presented and P is proper smooth at X , then E_P is the realization on $X \subset P$ of the corresponding overconvergent isocrystal.

SECOND THEOREM

The overcon-
vergent
site

Bernard Le
Stum

THEOREM (PART 2)

If X is an algebraic variety over S_k , there exists a morphism of ringed toposes

$$p_{\text{an}^\dagger} : (X/S)_{\text{an}^\dagger} \longrightarrow S_K$$

such that

$$Rp_{\text{rig}}E \simeq Rp_{\text{an}^\dagger *}E.$$

COROLLARY

If X is an algebraic variety over k and K is a complete ultrametric field of characteristic zero with residue field k , then

$$H_{\text{rig}}^*(X/K) \simeq H^*(\text{an}^\dagger(X/K), \mathcal{O}_{\text{an}^\dagger(X/K)}).$$

This is the analog of Grothendieck theorem in positive characteristic.

OBJECTS

The overcon-
vergent
site

Bernard Le
Stum

Let S be a formal \mathcal{V} -scheme as before and X an algebraic variety over S_k . An **object** of the **(small) overconvergent site** is a pair made of a formal embedding $X \subset P$ and an open subset $V \subset P_K$:

$$X \hookrightarrow P \xleftarrow{\text{sp}} P_K \xleftrightarrow{\text{open}} V.$$

The **tube** of X inside V is

$$]X[_V := V \cap]X[_P.$$

A **morphism**

$$(X \subset P' \leftarrow P'_K \hookrightarrow V') \rightarrow (X \subset P \leftarrow P_K \hookrightarrow V)$$

is simply a morphism $V' \dashrightarrow V$ defined on a neighborhood of $]X[_{V'}$ such that $\text{sp}(u(x)) = \text{sp}(x)$ for $x \in]X[_{V'}$, and the same holds after any isometric extension of K .

ALTERNATIVE DESCRIPTION

The overconvergent site

Bernard Le Stum

The **big overconvergent site** has a more “formal” definition. A **formal morphism** is a commutative diagram

$$\begin{array}{ccccccc}
 U'^{\circ} & \longrightarrow & P' & \longleftarrow & P'_K & \longleftarrow & V' \\
 \downarrow f & & \downarrow v & & \downarrow v_K & & \downarrow u \\
 U^{\circ} & \longrightarrow & P & \longleftarrow & P_K & \longleftarrow & V
 \end{array}$$

over X and S . We obtain a category $\text{AN}(X/S)$.

A formal morphism is said to be a **strict neighborhood** if $f = \text{Id}_U$ and u is an open immersion that induces an isomorphism $]U[_{V'} \simeq]U[_V$ on the tubes.

The category $\text{AN}(X/S)$ admits right calculus of fractions with respect to strict neighborhoods. We can define $\text{AN}^{\dagger}(X/S)$ as the category of fractions with respect to strict neighborhoods.

TOPOLOGY AND SHEAVES

The overcon-
vergent
site

Bernard Le
Stum

A **covering** in $\text{an}^\dagger(X/S)$ is a family

$$(X \subset P \xleftarrow{\text{sp}} P_K \supset V_i) \rightarrow (X \subset P \xleftarrow{\text{sp}} P_K \supset V)$$

where each V_i is open into V and $\cup V_i$ is a neighborhood of $]U[_V$ into V . This defines a pretopology on $\text{an}^\dagger(X/S)$ turning it into a site and giving rise to a topos $(X/S)_{\text{an}^\dagger}$.

The structural sheaf is defined as

$$\mathcal{O}_{\text{an}^\dagger(X/S)} : (X \subset P \leftarrow P_K \supset V) \mapsto \Gamma(]X[_V, i_X^{-1} \mathcal{O}_V).$$

This way, we obtain a ringed site.

THE RELATIVE SITE

The overcon-
vergent
site

Bernard Le
Stum

If $X \hookrightarrow P$ is a formal immersion, we may consider the full subcategory of $\mathrm{an}^\dagger(X/S)$ of all objects who admit **some** morphism

$$(X \subset Q \leftarrow Q_K \supset V) \rightarrow (X \subset P \leftarrow P_K = P_K)$$

and we denote by $\mathrm{an}^\dagger(X_P/S)$ the corresponding ringed site. Then, we have:

PROPOSITION

If P is smooth at X , there is an equivalence of categories

$$\left\{ \begin{array}{l} \text{Finitely presented} \\ \text{modules on } \mathrm{an}^\dagger(X_P/S) \end{array} \right\} \simeq \left\{ \begin{array}{l} \text{Overconvergent isocrystals} \\ \text{on } X \subset P/S \end{array} \right\}$$

The proof goes as in the classical case as we shall see:

STRATEGY OF PROOF

The overcon-
vergent
site

Bernard Le
Stum

We define an **overconvergent stratification** on an $i_X^{-1}\mathcal{O}_{P_K}$ -module \mathcal{F} as an isomorphism

$$i_X^{-1}p_2^*i_{X*}\mathcal{F} \simeq i_X^{-1}p_1^*i_{X*}\mathcal{F}$$

on $]X[_{P \times_S P}$ and we prove an equivalence

$$\left\{ \begin{array}{l} \text{Crystals} \\ \text{on } (X_P/S)_{\text{an}}^{\dagger} \end{array} \right\} \simeq \left\{ \begin{array}{l} i_X^{-1}\mathcal{O}_{P_K}\text{-modules with} \\ \text{overconvergent stratification} \end{array} \right\}$$

Then, the difficult part is fullfaithfulness of

$$\left\{ \begin{array}{l} \text{Coherent } i_X^{-1}\mathcal{O}_{P_K}\text{-modules} \\ \text{with overconvergent stratification} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{Coherent stratified} \\ i_X^{-1}\mathcal{O}_{P_K}\text{-modules} \end{array} \right\}$$

Finally, we have

$$\left\{ \begin{array}{l} \text{Stratified} \\ i_X^{-1}\mathcal{O}_{P_K}\text{-modules} \end{array} \right\} \simeq \left\{ \begin{array}{l} i_X^{-1}\mathcal{O}_{P_K}\text{-modules} \\ \text{with integrable connection} \end{array} \right\}$$

THE MAIN THEOREM

The overcon-
vergent
site

Bernard Le
Stum

THEOREM

If $X \hookrightarrow P$ is a formal embedding with P proper and smooth at X , there is an equivalence of toposes

$$(X_P/S)_{\text{an}}^{\dagger} \simeq (X/S)_{\text{an}}^{\dagger}.$$

This theorem and the previous proposition implies theorem 1.
And we get as a corollary the independence on \overline{X} and P of the category of overconvergent isocrystals.

We can reformulate the conclusion of the theorem as follows:

THEOREM

The object $(X \subset P \leftarrow P_K = P_K)$ is a covering of the final object of the topos $(X/S)_{\text{an}}^{\dagger}$.

THE PROOF

The overcon-
vergent
site

Bernard Le
Stum

Using the diagonal embedding, it is sufficient to prove the following geometric result:

PROPOSITION

Let $v : P' \rightarrow P$ be a morphism of formal embeddings of X which is proper and smooth at X . Then, the morphism

$$(X \subset P' \leftarrow P'_K = P'_K) \rightarrow (X \subset P \leftarrow P_K = P_K)$$

induced by v has locally a section in $\mathrm{an}^\dagger(X/S)$.

As already mentioned, we know that v induces a smooth morphism $v_K : V' \rightarrow V$ between two neighborhoods of the tubes.

THE PROOF

The overcon-
vergent
site

Bernard Le
Stum

The smoothness of v implies for all $x \in]X[_P$ an isomorphism

$$\phi_x : v_K^{-1}(x) \simeq \mathbf{B}_{\mathcal{K}(x)}^d(0, 1^-)$$

(this is a consequence of the weak fibration theorem).

One can then show that there exists two neighborhoods W of x in V and W' of $x' := \phi_x^{-1}(0)$ in V' such that ϕ_x extends to an open immersion

$$\phi : W' \hookrightarrow \mathbf{B}_W^d(0, 1^-).$$

We can then use the zero section s and we get the expected section:

$$(X \subset P \leftarrow P_K \supset W) \rightarrow (X \subset P' \leftarrow P'_K = P'_K).$$

Proof of theorem 1 is complete.

STRONG FIBRATION

The overcon-
vergent
site

Bernard Le
Stum

In order to compare also the cohomology, we really need a **strong fibration theorem**:

THEOREM (BERTHELOT)

Let $v : P' \rightarrow P$ be a morphism of formal embeddings of X that is proper and smooth at X . Then, locally for the Zariski topology on X and for the Grothendieck topology on P_K , there is an isomorphism

$$(X \subset P' \leftarrow P'_K = P'_K) \simeq (X \subset \hat{\mathbf{A}}_P^n \leftarrow \mathbf{B}_{P_K}^n = \mathbf{B}_{P_K}^n).$$

The proof is a geometric reduction to the finite étale case. The finite étale case is a consequence of the weak fibration theorem and properties of the étale topology for (Berkovich) analytic varieties.

THE POINCARÉ LEMMA

The overcon-
vergent
site

Bernard Le
Stum

From the strong fibration theorem, one can derive the **Poincaré lemma**:

LEMMA (BERTHELOT)

Let $v : P' \rightarrow P$ be a morphism of formal embeddings of X that is proper and smooth at X . If \mathcal{F} is a coherent $i_X^{-1}\mathcal{O}_{P_K}$ -module and $v_K :]X[_{P'} \rightarrow]X[_P$ is the induced map, we have

$$\mathcal{F} \simeq Rv_{K*}(\mathcal{F} \otimes_{i_X^{-1}\mathcal{O}_{P_K}} i_X^{-1}\Omega_{P'_K/P_K}^\bullet)$$

In order to prove this lemma, one uses the strong fibration theorem to reduce to the case of the projection of $\hat{\mathbf{A}}_P^n$ onto P . Then, one uses induction on n to reduce to the case $n = 1$. The final computation is done directly.

LINEARIZATION

The overcon-
vergent
site

Bernard Le
Stum

If $X \hookrightarrow P$ is a formal embedding, we may consider the site $\mathrm{an}^\dagger(X \subset P/S)$ of objects defined above ($X \subset P \leftarrow P_K = P_K$). Restriction is the inverse image functor for a morphism of ringed toposes

$$j : (X \subset P/S)_{\mathrm{an}^\dagger} \rightarrow (X/S)_{\mathrm{an}^\dagger}.$$

On the other hand, realization is the direct image for a morphism of ringed sites

$$\varphi : \mathrm{an}^\dagger(X \subset P/S) \rightarrow]X[_P.$$

Then, if \mathcal{F}^\bullet is a complex of $i^{-1}\mathcal{O}_{P_K}$ -modules and differential operators, its **derived linearization** is

$$RL\mathcal{F}^\bullet := Rj_*\varphi^*\mathcal{F}^\bullet.$$

POINCARÉ LEMMA

The overcon-
vergent
site

Bernard Le
Stum

So far, I did not tell you what exactly a realization is: If E is a sheaf on $\mathrm{an}^\dagger(X/S)$ and $X \hookrightarrow P$ is a formal embedding, the **realization** of E on P is the sheaf on $]X[_P$ defined by

$$\Gamma(]X[_V, E_P) := \Gamma(X \subset P \leftarrow P_K \supset V, E)$$

From the Poincaré lemma, one deduces:

LEMMA

Let $X \hookrightarrow P$ be a formal embedding with P proper and smooth at X over S . If E is a finitely presented $\mathcal{O}_{(X/S)_{\mathrm{an}}^\dagger}$ -module, we have

$$E \simeq RL(E_P \otimes_{i_X^{-1}\mathcal{O}_{P_K}} i_X^{-1}\Omega_{P_K/S_K}^\bullet).$$

One easily sees that, if we are given another formal embedding $X \subset P'$, then $(RL\mathcal{F}^\bullet)_{P'} = Rp_{1*}i_X^{-1}p_2^*i_{X*}\mathcal{F}^\bullet$. The lemma follows.

END OF THE PROOF

The overcon-
vergent
site

Bernard Le
Stum

LEMMA

Let $(X \subset P)$ be a formal embedding and \mathcal{F}^\bullet a complex of $i_X^{-1}\mathcal{O}_{P_K}$ -modules and differential operators. If $v : P \rightarrow S$ is the structural map and $v_K : X \rightarrow S_K$ the induced map. Then,

$$Rp_{\text{an}^\dagger*} RL\mathcal{F}^\bullet = Rv_{K*}\mathcal{F}^\bullet.$$

It follows that if E is a finitely presented $\mathcal{O}_{\text{an}^\dagger(X/S)}$ -module, we obtain thanks to the Poincaré Lemma, a de Rham formula

$$\begin{aligned} Rp_{\text{an}^\dagger*}E &= Rp_{\text{an}^\dagger} RL(E_P \otimes_{i_X^{-1}\mathcal{O}_{P_K}} i_X^{-1}\Omega_{P_K/S_K}^\bullet) \\ &= Rv_{K*}(E_P \otimes_{i_X^{-1}\mathcal{O}_{P_K}} i_X^{-1}\Omega_{P_K/S_K}^\bullet) = Rp_{\text{rig}}E. \quad \square \end{aligned}$$

We obtain as a corollary the independence of the rigid cohomology with respect to the formal embedding $X \subset P$.

GELFAND SPECTRUM

The overcon-
vergent
site

Bernard Le
Stum

If A is an affinoid algebra, then $V := \mathcal{M}(A)$ is the set of continuous semi-absolute values (or multiplicative semi-norms) $x : A \rightarrow \mathbf{R}$ over K . The “kernel” of x is a prime \mathfrak{p}_x and $\mathcal{K}(x)$ will denote the completion of the fraction field of A/\mathfrak{p}_x . We write $f(x)$ for the image of $f \in A$ into $\mathcal{K}(x)$ so that $|f(x)| = x(f)$. We get a bijection

$$V_0 := \mathrm{Spm}(A) \simeq \{x \in V, \quad [\mathcal{K}(x) : K] < \infty\}$$

The set V is endowed with the simple convergence topology.

The simplest non trivial example is given by

$$\mathbf{D}(0, 1^+) = \mathcal{M}(K\{t\}).$$

Besides rigid points $\in \mathbf{D}(0, 1^+)_0$, there are many other points such as

$$|(\sum_i a_i t^i)(x)| = \sup_i |a_i| r^i \quad \text{with} \quad r \in [0, 1].$$

AFFINOID VARIETIES

The overcon-
vergent
site

Bernard Le
Stum

An **affinoid variety** is a topological space V endowed with a homeomorphism $V \simeq \mathcal{M}(A)$. We will write $\mathcal{O}(W) = A$. Any homomorphism of affinoid algebra $A \rightarrow A'$ will induce an **affinoid map**

$$V' \simeq \mathcal{M}(A') \rightarrow \mathcal{M}(A) \simeq V.$$

A subspace W of $V := \mathcal{M}(A)$ is an **affinoid domain** if it is universal for affinoid maps: W has an affinoid structure and if a composite map $V' \rightarrow W \hookrightarrow V$ is affinoid, so is the first one.

For example, the disk

$$\mathbf{D}(0, \eta^+) := \{x \in \mathbf{D}(0, 1^+), \quad |t(x)| \leq \eta\}$$

is an affinoid domain for $\eta \in \sqrt{|\mathcal{V}|}$: if $\eta^k = |a|$, we have $\mathbf{D}(0, \eta^+) \simeq \mathcal{M}(K\{t, s\}/(t^k - as))$. Note that Berkovich theory can be enlarged in order to work with any $\eta \leq 1$: this is essential if we want to work with trivial valuations (which is not the case here).

NETS

The overcon-
vergent
site

Bernard Le
Stum

A **quasi-net** on a topological space V is a collection τ of subsets W of V , the **bricks**, such that any point x of V has a neighborhood which is a finite union of bricks containing x . The subsets $[n, n + 1]$ on the real line form a quasi-net.

It is called a **net** if whenever W is a finite intersection of bricks, all bricks contained in W form a quasi-net. The above example is not a net but the open subsets of a topological space or the affinoid domains of an affinoid variety form a net.

Then, a subset W of V will be called **admissible** if the bricks contained in W form a quasi-net on W . A covering of an admissible subset by admissible subsets will be **admissible** if it is a quasi-net. For example, the covering

$$\mathbf{D}(0, 1^-) = \cup_{\eta < 1} \mathbf{D}(0, \eta^-)$$

is an admissible covering of an admissible subset.

ANALYTIC VARIETIES

The overcon-
vergent
site

Bernard Le
Stum

An **analytic variety** is a locally Hausdorff topological space V endowed with a maximal net of affinoid domains: in other words, we want a net τ on V such that the category (τ, \subset) is a subcategory of affinoid varieties and inclusion of affinoid domains. We want it maximal: there is an obvious order by inclusions on such nets and τ must be maximal for this order.

Example: we denote by $\mathbf{A}^{1,\text{an}}$ the set of semi-absolute values on $K[t]/K$. The subsets

$$D_R = \{x \in \mathbf{A}^{1,\text{an}}, x(t) \leq R\}$$

define a net of affinoid domains. To make it maximal, it is sufficient to add all the affinoid domains W that sit inside some D_R .

RIGID VERSUS BERKOVICH

The overcon-
vergent
site

Bernard Le
Stum

Admissible subsets of an analytic variety are called **analytic domains**. Analytic domains and admissible coverings define a Grothendieck topology on V giving rise to a site V_G .

We can define the residue field $\mathcal{K}(x)$ at a point $x \in V$. If V is Hausdorff, the set

$$V_0 := \{x \in V, \quad [\mathcal{K}(x) : K] < \infty\}$$

has a unique structure of rigid analytic variety such that

- 1 The affinoid open subsets are the W_0 where W is an affinoid domain.
- 2 The admissible affinoid coverings are the coverings $V_0 = \cup W_{i0}$ where $V = \cup W_i$ is an admissible affinoid covering.

It follows that we have an equivalence of toposes $\widetilde{V}_0 \simeq \widetilde{V}_G$.

GOOD ANALYTIC VARIETIES

The overcon-
vergent
site

Bernard Le
Stum

The presheaf \mathcal{O} on τ extends uniquely to a sheaf \mathcal{O}_{V_G} for the Grothendieck topology. It induces a sheaf \mathcal{O}_V for the usual topology. There is a canonical morphism $\pi : V_G \rightarrow V$ which is continuous (this is just the identity on the underlying sets). It is a morphism of ringed spaces.

If any point of V has an affinoid neighborhood, V is said to be **good**, and we have equivalences

$$\begin{array}{ccccc} \{\text{Coherent} & \simeq & \text{Coherent} & \xleftarrow{\pi_*} & \{\text{Coherent} \\ \mathcal{O}_{V_0}\text{-modules}\} & & \mathcal{O}_{V_G}\text{-modules}\} & \xrightarrow{\pi^*} & \mathcal{O}_V\text{-modules}\} \end{array}$$

Example: the analytic domain

$$V := \{(x, y) \in \mathbf{B}^2(0, 1^+), \quad |x| = 1 \text{ or } |y| = 1\}$$

is **not** good. Note that this is the tube of $\mathbf{A}_k^2 \setminus (0, 0)$ for the natural embedding into $\widehat{\mathbf{P}}_V^2$.